

06/22/01

Jc961 U.S. PTO

06-25-01

A

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL***(Only for new nonprovisional  
applications under 37 CFR 1.53(b))*

Title of Invention

Identifier System and Components for Optical Assemblies

Named Inventor(s)

Michael L. Wach and Dwight J. Holter

Attorney Docket

06948.105028

Express Mail Label No.

EK006109195US

PTO  
STAMP  
06/22/01  
06/22/01**APPLICATION ELEMENTS**Assistant Commissioner for Patents  
ADDRESS TO: Box Patent Application  
Washington, D.C. 20231**ACCOMPANYING APPLICATION PARTS**

1. ☐ Fee Transmittal Form  
*(Submit an original, and a duplicate for fee processing)*
2. ☒ Applicant claims small entity status. See 37 CFR 1.27.
3. ☒ Specification, Claims, and Abstract Total Pages **28**
4. ☒ Drawings Total Sheets **8**
5. ☒ Oath or Declaration Total Pages **2**
- a. ☒ Unexecuted (original or copy)
- b. ☐ Copy from prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 17 completed)*
- (i) ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76.
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*
8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statement verifying identity of above copies
9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement  
*(when there is an assignee)*
- ☐ Power of Attorney by assignee
11. ☐ English Translation Document *(if applicable)*
12. ☐ Information Disclosure Statement (IDS) PTO-1449
- ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15. ☐ Certified Copy of Priority Document(s)
16. ☒ Assignee: **CIRREX Corp.**  
**Suite 120, 1335 Ridgeland Parkway**  
**Alpharetta, GA 30004**
17. ☒ Other: Request for Non-Publication and Certification Under 35 U.S.C. § 122(b)(2)(B)(i)
18. ☒ Other: Certificate of Express Mail

**19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: 09/318,451Prior application information: Examiner: Unknown Group/Art Unit: 2874For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**20. CORRESPONDENCE ADDRESS:**Steven P. Wigmore, Esq.  
KING & SPALDING  
45<sup>th</sup> Floor  
191 Peachtree Street, N.E.  
Atlanta, Georgia 30303By: [Signature] Reg. No. 40,447  
Date: June 22, 2001  
Telephone: 404.572.4600  
Facsimile: 404.572.5145  
Customer No. 20786

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
 )  
**Michael L. Wach, et al.** )  
 )  
 Serial No.: Filed Herewith )  
 )  
 Filing Date: **June 22, 2001** )  
 )  
 Title: **Identifier System and Components** )  
**for Optical Assemblies** )

**REQUEST FOR NON-PUBLICATION AND CERTIFICATION  
 UNDER 35 U.S.C. § 122(b)(2)(B)(i)**

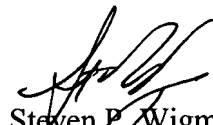
Assistant Commissioner of Patents  
 Box Patent Application  
 Washington, D.C. 20231

June 22, 2001

Sir:

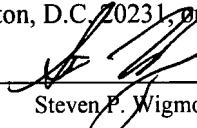
I hereby certify that the invention disclosed in the attached application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request under 35 U.S.C. § 122(b) that the attached application not be published.

Respectfully submitted,

  
 Steven P. Wigmore  
 Reg. No. 40,447

King & Spalding  
 45<sup>th</sup> Floor  
 191 Peachtree Street, N.E.  
 Atlanta, Georgia 30303  
 404.572.4600  
 K&S Docket: 06948.105025

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail with Express Mail Label No. EK006109195US in an envelope addressed to: Assistant Commissioner of Patents, Box Patent Application, Washington, D.C. 20231, on June 22, 2001.

  
 Steven P. Wigmore, Reg. No. 40,447

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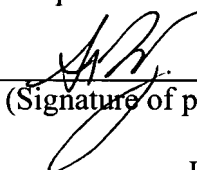
**CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. § 1.10**

“Express Mail” mailing label number EK006109195US Date of Deposit June 22, 2001.

I hereby certify that this paper is being deposited with the United States Postal Service as “Express Mail Post Office to Addressee” under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents, Box Patent Application, Washington, DC 20231.

Steven P. Wigmore, Esq.

(Typed or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)

June 22, 2001

(Date)

102290-15088860